P. 02

PATENT

IN THE UNITED STATES PATE	NT AND	TRADEMARK OFFICE	 EIVED FAX CENTER
In re Application of: Victor R. HERRERO Serial No.: 09/898,657 Filed: June 29, 2001 For: AUTOMATED ENTITLEMENT VERIFICATION FOR DELIVERY OF LICENSED SOFTWARE)	Group Art Unit: 2124 Examiner: Trenton J. Ro	1 0 2005

37 C.F.R. § 1.131 SUPPLEMENTAL DECLARATION

- I, the undersigned, am the Applicant for the above-referenced patent application, hereby declare the following:
- 1) In a previous Office Action dated July 14, 2004, the pending claims of my above-identified patent application were rejected under 35 U.S.C. §102(e) and 35 U.S.C. § 103(a) based on U.S. Publication No. 2002/0174422 to Kelley et al., entitled "Software Distribution System" which filed on September 26, 2001 and is based on a provisional application filed on September 28, 2000 ("Kelley").
- Although the Examiner on page 2 of the Office Action dated February 10, 2005, has considered and recognized the sufficiency of the 37 CFR 1.131 declaration filed on October 14, 2004, the inventor wishes to place supplemental information in the file history to evidence that the above-referenced patent application was reduced to a writing and to practice prior to the September 28, 2000 priority date of Kelly. In particular, the following document is from IBM's internal Library Management system which is used to schedule and manage the implementation of my invention.
- I, the undersigned, declare all of the above statements are made on my own knowledge, the above statements are true and correct, and the above statements are made on information that I believe to be true. I understand that false statements or concealment in obtaining a patent will subject me to fine and/or imprisonment or both (18 U.S.C. §1001) and may jeopardize the validity of the above identified patent application or any application issuing therefrom.

Name: Victor R. Herrero Signature: Didg & Manuary Date: May 6, 2005

Victor Herrero
Category: Design Notes
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Change Log:

Original.

Changes from meeting with SHI (blue, green).

Replace with latest update from GPS

Interface to SHI

SHI produces a file containing ISSI licensed product data daily and makes it available on an SHI server.

The file contains the following fields per row:

Product Identifier: alphanumeric string; up to 15 characters; associates one or more ISSI package global names to a licensed product.

Purchase Order Number: alphanumeric string, up to 15 characters;

equivalent to the License Code used to perform entitlement at installation time.

Quantity: numeric string (0-5); number of licenses ordered; used for reporting purposes only.

A new ISSI program, LicenseMaker (for now anyway...), retrieves input files from the SHI server. Once retrieved, it deletes the files from the SHI server (SHI may want to keep backup copies of these files).

SHI file

The program will run once nightly. The naming convention for the file is ISSIyymmdd.TBL This is a flat text file with a header that displays the number of records that are contained in the file LicenseMaker can use the first record to ensure that a complete file was received. If the file is incomplete or not found, LicenseMaker should record an error message in its log file and should notify OSP that an error was encountered (similar to PkgFinder). OSP will delete the file from the SHI server after acknowledging the ftp of a "good" file The file is available on the SHI server at 9:00 PM daily SHI will send emails to clients at 2:00 AM daily SHI will house a backup copy of the file SHI will set up FTP id/pw with Delete access to the file The Password will not expire

EMail

Based on emails to other time zones, the email will state that there is a 24 hour window of availability to install from ISSI A separate Email will be sent for each item on the order. Link to IBM ISSI website to be included in the announce.

To-Do's.

Setup FTP id/pw: Ed Ford - due AM Dummy file for OSP: Ed Ford/Anthony DeStefano - due

Email context: Rich Westlein/Kathy Reece - due Submit requirement to OSP to implement this solution: Kathy Reece - due

OSP

Entitlement File Creation

LicenseMaker will perform the following functions:

For each unique product identifier, create an entitlement file named productidentifier.tbl. The entitlement file must contain the list of unique code values received and associated quantities. Each code received must be unique. If the entitlement file already exists, then update it with the new codes received. If a duplicate code is received, the new code and quantity will replace the old values. The license quantity field is strictly for reporting purposes. It will not be used to determine entitlement.

The entitlement files will reside on an OSP server (e.g., ospdb). Once the entitlement files are created/updated, they are ftp'ed to the GWA staging area and mirrored to production. The entitlement files can be handled the same way that PkgFinder handles package server tables.

At this point, we do not have a requirement to delete of entitlement files.

Performing Entitlement Function

Packaging Requirements will include the product identifier associated with the ISSI package.

The ISSI Installation Web page will contain a new hidden field which will contain the product identifier associated with the package.

The ISSI Installation Web page will prompt the user for the lincense code. What should the prompt label be?

We may be asked to prompt the user for his Lotus Notes id. If so, the field would not be audited. Example's of Id format at the prompt. Transfer that input to the owner field in the application if applicable. IBM would always be the Business/Company name

The ISSI CGI script will look up the license code in the corresponding entitlement file. If found, the installation is allowed to continue. If not found, a message is displayed to the user (similar to the BRIO message).

The ISSI Helper Application will record the product identifier, license code, and Lotus Notes id in the centralized logs and reporting database, for potential reporting requirements.

The content of the entitlement files should also be stored in a new reporting database table for potential reporting requirements.

New folder for licensed applications. MS Office Folder would have sub-folders beneath for each component of Office, ie., Word, Excel, etc.

Library Management Record (Feature 2447) Opened on

prefix 2447 name reference NCO 1781 - ISSI - Implement Licensed App Support abstract duplicate Integration_Task component closed state 75 age assignDate addDate responseDate lastUpdate endDate originLogin herrero ownerLogin vuozzo Vic Herrero originName Vuozzo, Michael ownerName NWSPC/f6mf NWSPC/f6mf originArea ownerArea . remoteFamily remoteName tracks: none. verify: lastUpdate userLogin addDate state type duplicate herrero original accept

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2459 name NCO 1781 - ISSI - Licensed. App. Develop LicenseRetriever. abstract Develop the following utilities:

LicenseRetriever - new program that retrieves SHI data, creates entitlement files, and promotės entitlement file to Web site (model after PkgFinder).

Shell script (cron job) - runs LicenseRetriever, creates summary report, mails problems OSP dev team (model after pkgfinder.sh).

Reporting Database - load the license data retrieved from SHI (entitlement files) into a new DB2 table for future reporting purposes. Fields

include: product id;

license code, quantity).

2484 name NCO 1781 - ISSI - Licensed. App. Pagebuilder modifications

Make the following changes to Pagebuilder in support of licensed apps.

- Accept a new field in the .web file, "productid" . An alphanumeric string; up to 15 characters .

- If productid is specified pagebuilder must put in the output file the following:

- Set authenticate=yes

- Set productid=specified id

- Insert Prompts:

Name: license

alphanumeric string, up to 15 characters Format:

Prompt: Enter the Purchase Order number from the software order Email

confirmation

abstract

you received. This is required for a verification that will

allow installation of this

application from ISSI.

userid Name:

Format: alphanumeric string, up to 100 characters

Prompt: Enter your Lotus Notes ID (Example:

Name/Location/IBM@IBMxx)

<Note by vuozzo (Vuozzo, Michael),</pre>

eq: 0 rel: 0

action: size>

Licensed. App. CGI/API modifications

. 2 days

Licensed. App. Load installs to INSTLOG table - 2 days

Licensed. App. Develop License Retriever. - 12 days

Licensed. App. Pagebuilder modifications 3 days (includes packager doc updates and training)

<Note by herrero (Vic Herrero),

seq: 0 rel: 0

action: review>

Reviewed and approved by Vic Herrero on

<Note by herrero (Vic Herrero),

seq: 0 rel: 0

action: note>

PageBuilder/Packaging process changes need to be communicated to John MacDonald, Tim Silva, Ann Paleveda, and Jeff Bradley.

<Note by vuozzo (Vuozzo, Michael),

seq: 0 rel: 0

action: verify>

Feature is complete all sub features have been implemented.

Victor Herrero Workstation Platforms Development IBM Global Services - Operating Systems Platforms